

**Sub-Element 1.c—Direction and Control**

<b>What the Policy Says</b>	<p><b>Intent</b> This sub-element derives from NUREG-0654, which provides that Offsite Response Organizations (ORO) have the capability to control their overall response to an emergency.</p> <p><b>Criterion 1.c.1:</b> Key personnel with leadership roles for the ORO provide direction and control to that part of the overall response effort for which they are responsible. (NUREG-0654, A.1.d; A.2.a, b).</p> <p><b>Minimum Frequency</b> Criterion 1.c.1 is to be evaluated every exercise.</p> <p><b>Extent of Play</b> Leadership personnel should demonstrate the ability to carry out essential functions of the response effort, for example: keeping the staff informed through periodic briefings and/or other means, coordinating with other appropriate OROs, and ensuring completion of requirements and requests.</p> <p>All activities associated with direction and control must be performed based on the ORO's plans and procedures and completed as they would be in an actual emergency, unless otherwise noted above or indicated in the Extent of Play agreement.</p>
<b>Preparing to Evaluate This Criterion</b>	<p>Before the exercise, determine, according to the ORO's plan/procedures and the Extent of Play agreement:</p> <ul style="list-style-type: none"> <li>• Who is in charge of the emergency response at this location?</li> <li>• Are staff briefings or other means of providing information and instructions to staff specified? At what frequency?</li> <li>• Who is responsible for coordinating response activities with other organizations?</li> <li>• Who is authorized to make protective action decisions (PADs)?</li> <li>• Who approves EAS or other notification method message content and authorizes the release of the message(s)?</li> <li>• Are plan/procedures available for all staff?</li> <li>• Are message logs maintained, messages numbered, and distributed to designated staff?</li> </ul>
<b>During the Exercise</b>	<p>During the exercise, in addition to evaluating activities related to the items listed above, be sure to:</p> <ul style="list-style-type: none"> <li>• Note if the participants follow the plan and procedures related to the items listed above, unless otherwise indicated in the extent of play agreement. If not, were there any adverse consequences? Are changes to the plan or procedures recommended as a result?</li> <li>• Note if the key personnel in leadership roles make timely decisions. Also, observe whether the decision-makers obtained input from their support staff.</li> <li>• Document how key personnel in leadership roles resolve conflicts, if they arise.</li> </ul>